

# Assured Machine Learning and Cyber Deployment

John Dirkman, P.E.  
Vice President, Product Management  
[jdirkman@resource-innovations.com](mailto:jdirkman@resource-innovations.com)



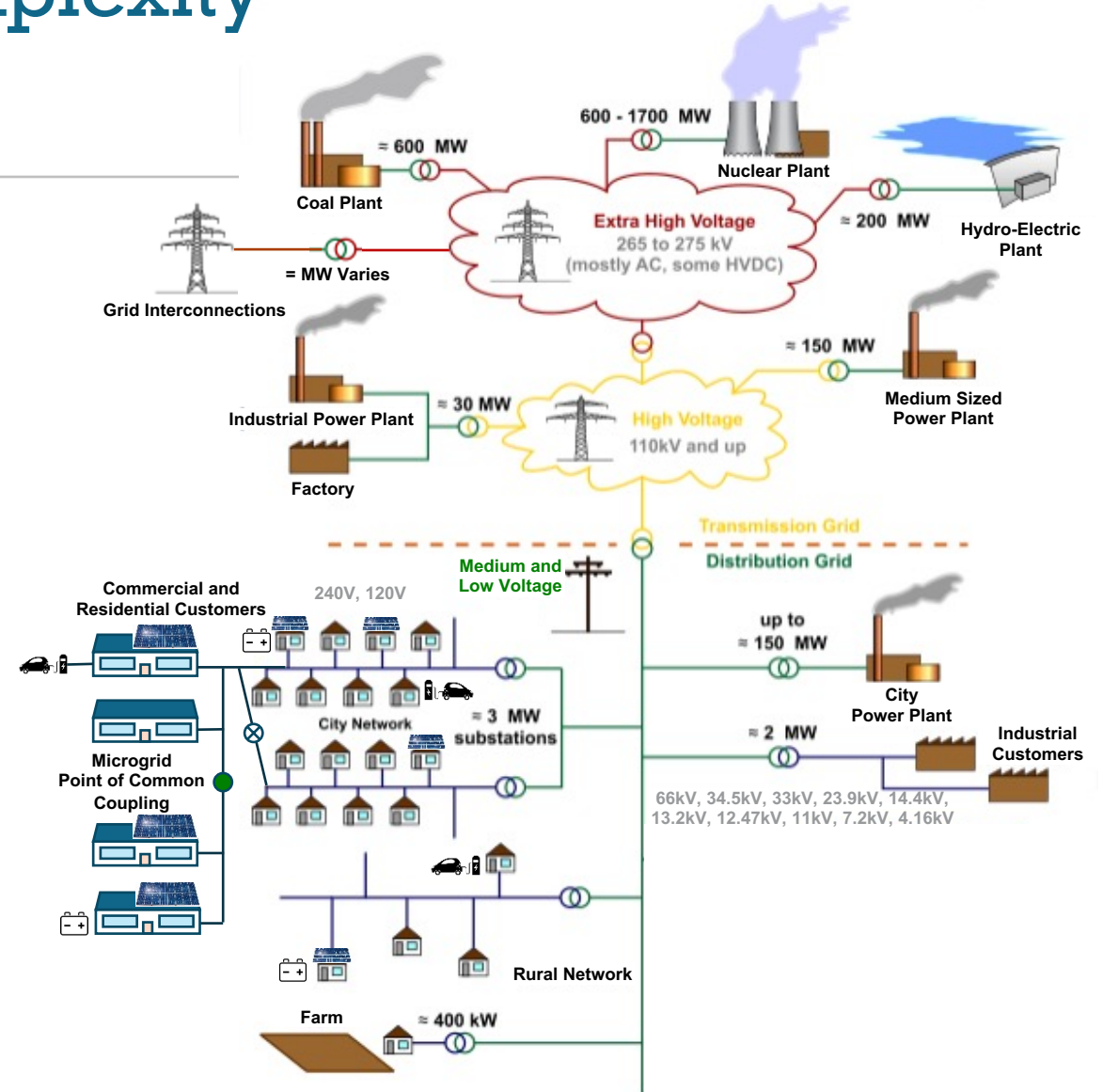
# Electric Grid Organization Complexity and RI Grid Software Solutions

## Customers Served

- Electric Transmission and Distribution Utilities
- Independent System Operators
- Energy Traders
- Grid Control System Suppliers (GE, Hitachi Energy, Toshiba, Smarter Grid Solutions)
- Department of Energy via Arizona State University and other partners

## RI Grid software for planning, operational, and financial analytics

- Utilities must always balance supply (generation) and demand (load), or risk voltage and frequency problems which can lead to brownouts and blackouts.
- Variable renewable generation in transmission and distribution contributes to potential unbalance between supply and demand.
- Utilities have less control over the modern grid.



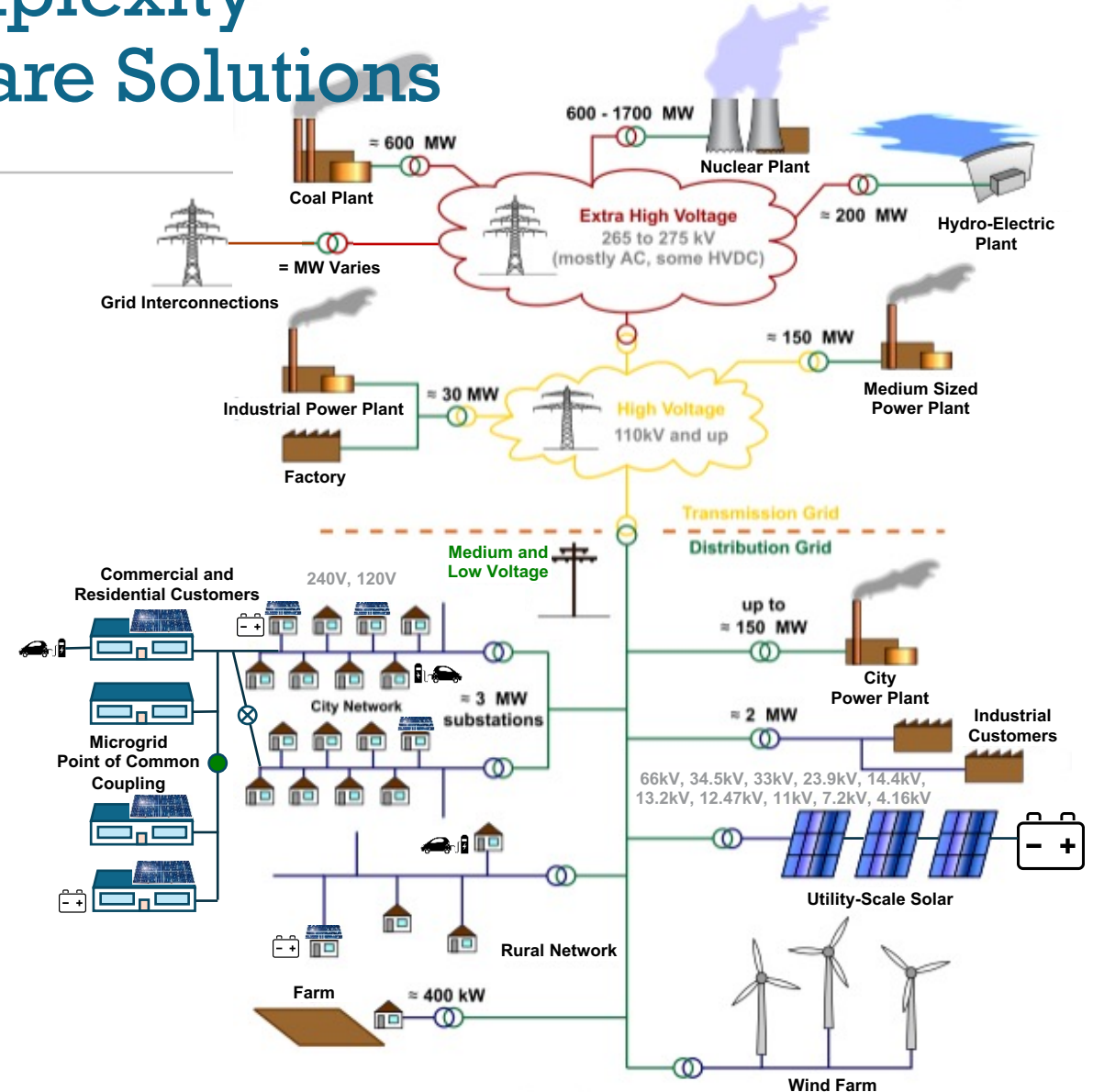
# Electric Grid Organization Complexity and RI Transmission Grid Software Solutions

## Transmission Energy Analytics Software

**Grid360 Engines:** T&D grid planning, operations and analytics

**Day-Ahead Reactive Planning (DARP):** Forecast and optimally dispatch grid resources to mitigate renewable variability, powered by the Grid360 Engines

**Grid360 External Network Modeling (Modelex):** Simplifies and consolidates transmission network models to improve analytical performance

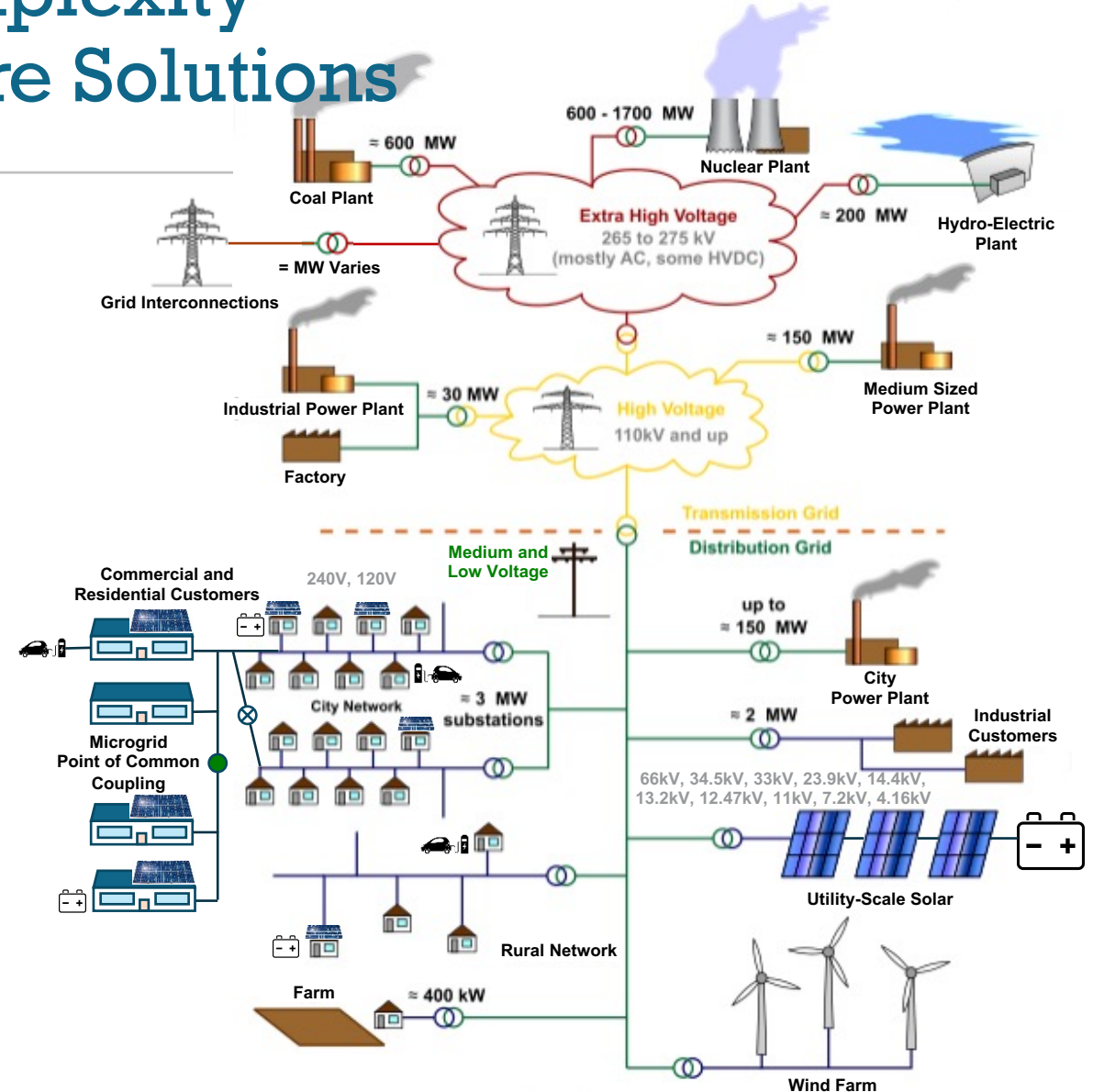


[https://en.wikipedia.org/wiki/Electric\\_power\\_distribution](https://en.wikipedia.org/wiki/Electric_power_distribution)

# Electric Grid Organization Complexity and RI Distribution Grid Software Solutions

## Distribution Energy Analytics Software

**Grid360 Distribution Analytics:** Advanced visualization, analytics, and planning applications, enabling demand response, distributed energy resources (solar and wind generation), electric vehicles, cybersecurity, and smart meter analysis and optimization, powered by the Grid360 Engines



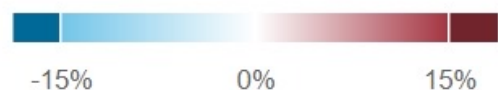
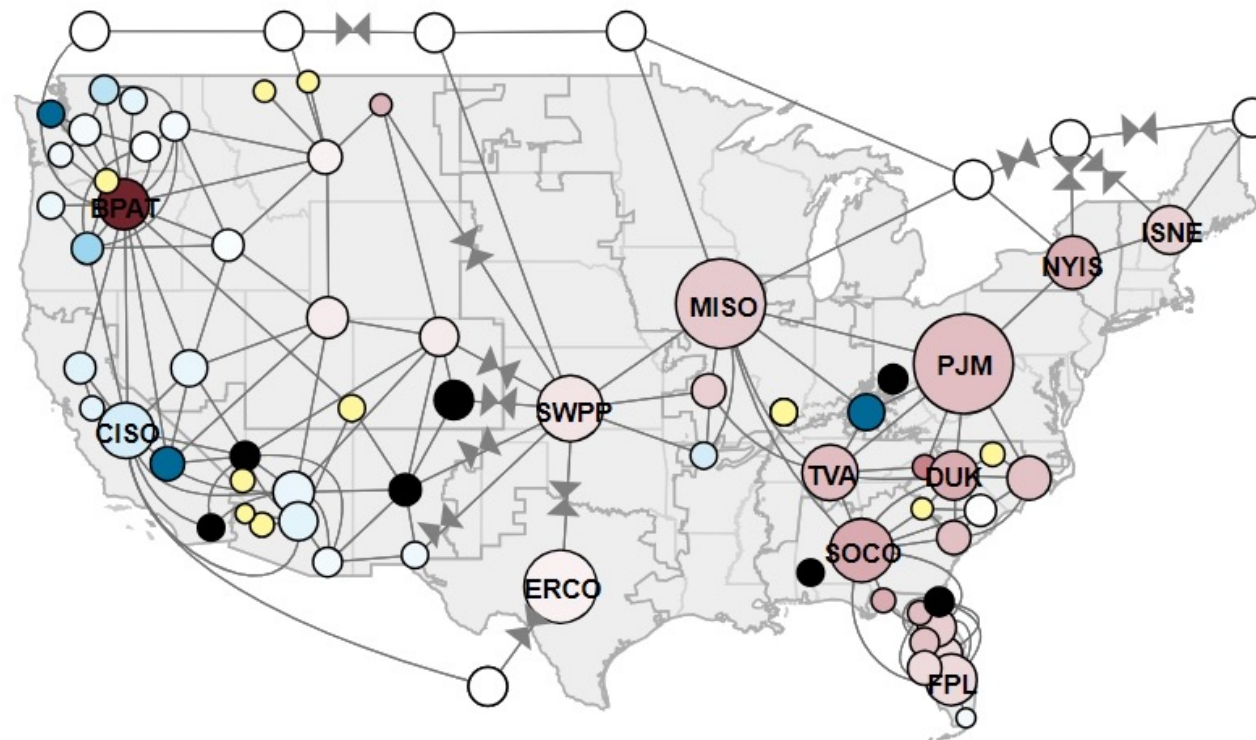
[https://en.wikipedia.org/wiki/Electric\\_power\\_distribution](https://en.wikipedia.org/wiki/Electric_power_distribution)

# Electric Grid Organization Complexity and RI Financial Grid Software Solutions

## Transmission Financial Analytics Software

**iHedge:** Energy market financial simulation, analysis, and engagement; used at ISOs in North America and New Zealand for congestion hedging by load serving entities and power traders, powered by the Grid360 Engines

**\$3B**  
Annual FTR Value  
Managed by iHedge  
Software

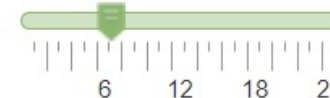


AC-DC-AC tie

Missing data

Generation-only

Hour



Data source: U.S. Energy Information Administration

[https://www.eia.gov/electricity/gridmonitor/dashboard/electric\\_overview/US48/US48](https://www.eia.gov/electricity/gridmonitor/dashboard/electric_overview/US48/US48)

Confidential

5

# Tech to Market Approach and Industry Advisory Board

---

## Technical approach

Six primary markets: (1) Energy Management Systems (EMS), (2) Distribution Management Systems (DMS), (3) Supervisory Control and Data Acquisition (SCADA), (4) Programmable Logic Controller (PLC), (5) Industrial Control Systems (ICS)/Cyber-Physical Systems (CPS), and (6) IoT devices  
Integration/add on of new technology without need for wholesale replacement of systems/devices

## Commercialization approach

Development of engines is preferred

## Market segments

EMS/DMS/SCADA: IOU T&D utilities, municipal utilities and cooperatives in North America, T&D utilities in rest of the world

PLC/ICS/CPS/IoT: many potential applications

## Key commercial partners, customers/advisors

Input from Industry Advisory Board (IAB)

IAB composed of utility personnel, vendors, system integrators, and academics

IAB provides feedback/validation of our proposed approach and market strategy

IAB also helps identify early adopters

Market via eco-system of utilities, vendors, and system integrators

Executed successfully for DOE ARPA-E Sensor Enabled Modeling of Future Distribution Systems with DER and other projects

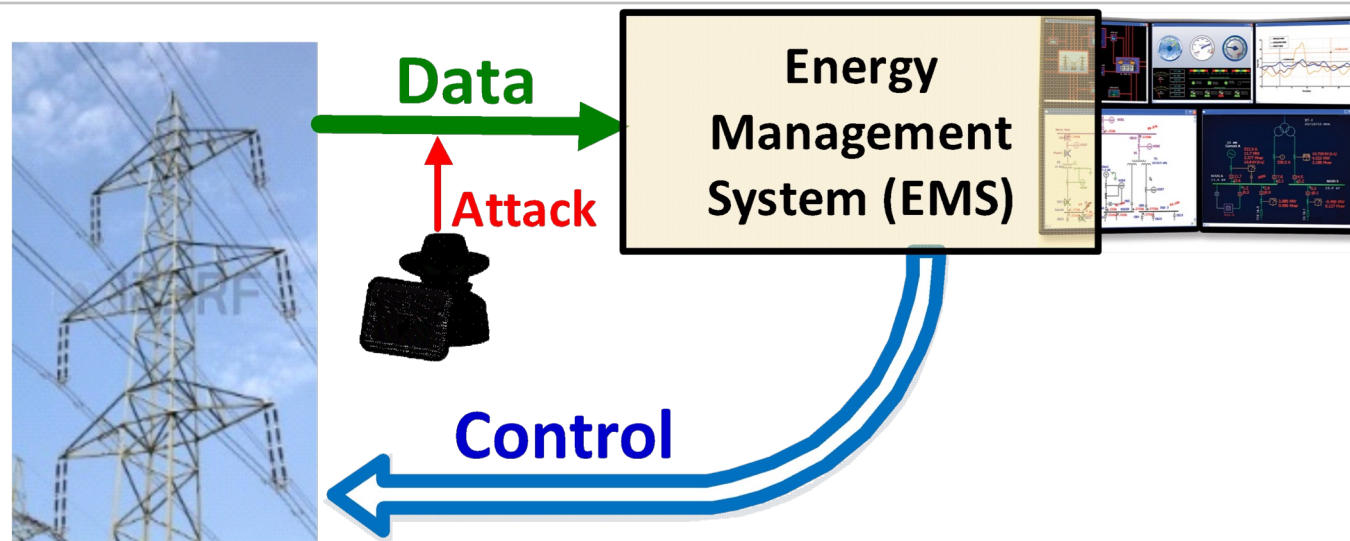
## Additional commercial activities

Promotion of technology and preliminary outreach to potential customers

Publication of results obtained during project - journals, white papers, conferences, etc.

# Generating and Detecting Mimicking Attacks

## Tasks 5 and 8



Knowing system configuration, attacker can maliciously change a subset of measurements with counterfeit data before they reach the EMS

Requires attacker to have access to measurement devices or data concentrators

Can be unobservable and result in physical / economic consequences

# Generating and Detecting Mimicking Attacks

## Tasks 5 and 8

---

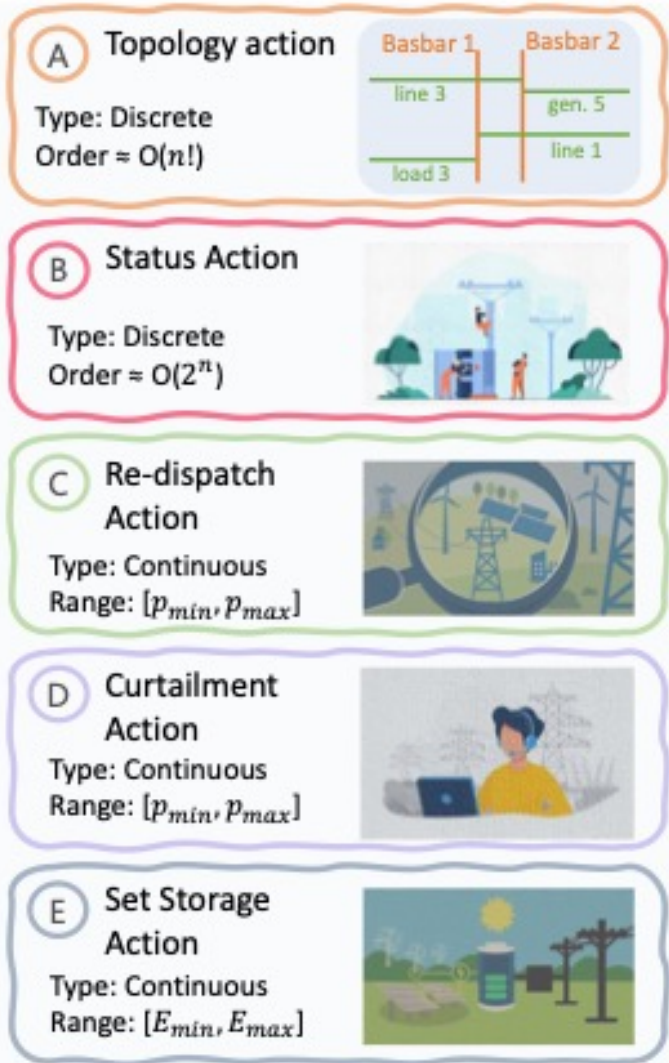
- SVR load predictor to accurately predict loads
- SVM attack detector to identify attacks with high accuracy
- Predicted loads are used to mitigate attacks when they are detected
  
- SVR load predictor and SVM attack detector as separate engines to be applied to EMS and ADMS products



# Large Power Grids: Action Space for RL

## Task 16

Action Space



### Discrete actions:

- *Topology actions*: changing the topology of certain substations
- *Status actions*: transmission or power line switching

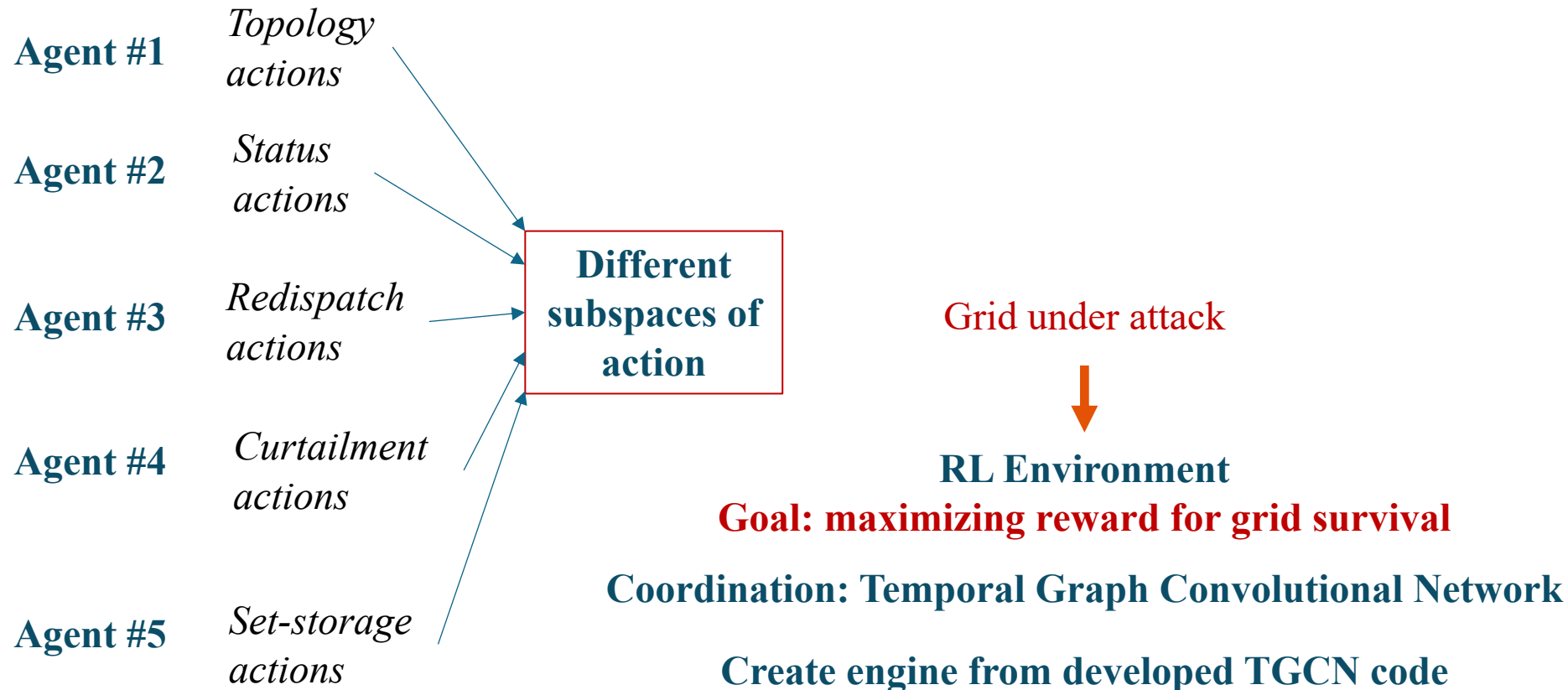
### Continuous actions:

- *Redispatch actions*: changing the operating schedule of power plants
- *Curtailment actions*: limiting the production of renewable generators
- *Set-storage actions*: changing the role of some storage units from loads to generators or vice versa

Example: IEEE 118-Bus system: about 12 million possible actions

# Large Power Grids: Action Space for RL

## Task 16



# Commercialization Efforts – Coding and Testing

---

- Ongoing team meetings with ASU and RI
- Discussions on data, testing, and code changes
- Methods for streamlining code
- Testing of algorithms under various industry level simulations
- Methods for visualization in Grid360

# Commercialization Process

## Setup:

- ✓ • Obtain and install developed code on local machine
- ✓ • Obtain and install input data on local machine
- ✓ • Obtain and review user guide/guidance
- ✓ • Obtain and install third-party applications
- ✓ • License fee for third-party applications
- License structure for commercialization

## Commercialization Plan and Revenue Estimate:

- ✓ • Lean Canvas
- ✓ • Discuss product with potential customers
- ✓ • Revenue Estimate
  - Cost of Commercialization
  - Price for Product
  - Price for Support and Maintenance
  - Number of Installations
  - Revenue from Product
  - Revenue from Support and Maintenance
- Go/No Go Decision

## Design:

- User Experience:
  - Data Input
  - Processing
  - Output/Visualization
- Review use of third-party applications and options for mitigating or not using them
- Integration with other applications - APIs
- Testing Plan
- Discuss product design with potential customers

## Develop:

- User Experience:
  - Data Input
  - Processing
  - Output/Visualization
- Minimize use of third-party tools
- Integration with other applications - APIs
- Testing and defect resolution
- Installation and User Guides

## Deploy:

- Marketing Collateral
- Sales Support
- Installation Support
- Training
- Testing and defect resolution
- Ongoing Support

# The Lean Canvas

Designed for:

Load Prediction, Redistribution  
Attack Detection and Mitigation

Designed by:













John Dirkman

Date:

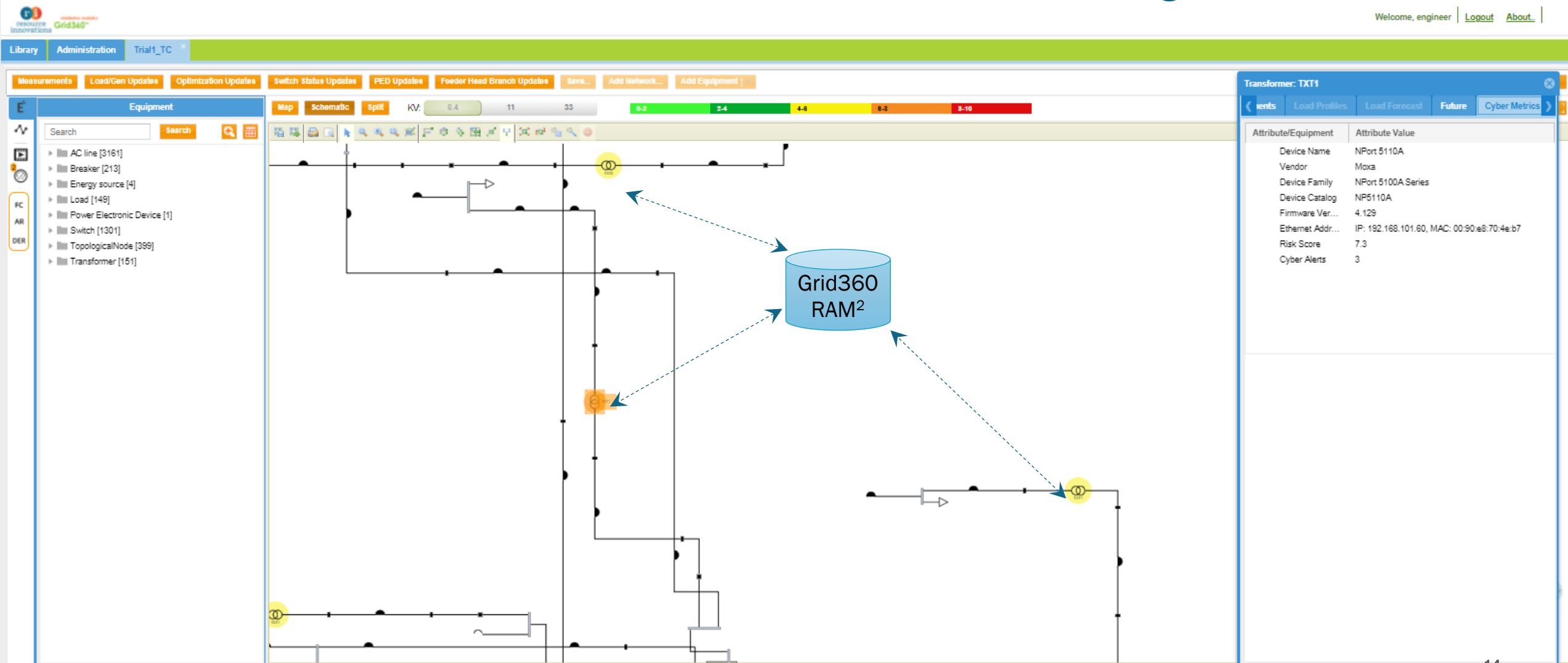
9 March 2023

Version:

1.0

<p><b>Problem</b> </p> <p>Utilities lack software to predict and detect attacks intended to redistribute load measurement data.</p>	<p><b>Solution</b> </p> <p>Develop software to predict and detect attacks intended to redistribute load measurement data that can work with existing SCADA systems.</p>	<p><b>Unique Value Prop.</b> </p> <p>There is currently no commercially available software to predict, detect, and prevent attacks on loads.</p>	<p><b>Unfair Advantage</b> </p> <ol style="list-style-type: none"> <li>ASU domain knowledge and research.</li> <li>Easier path to commercialization using Grid360 engines framework</li> <li>Established sales and delivery channels.</li> </ol>	<p><b>Customer Segments</b> </p> <p>Electric Distribution Utility Companies Worldwide</p>
<p><b>Existing Alternatives</b> </p> <p>While there have been technical papers published on this topic, no known commercial software currently provides this capability.</p>	<p><b>Key Metrics</b> </p> <p>Customer contacts, RFP's received, contracts closed.</p>	<p><b>High-Level Concept</b> </p> <p>Use support vector regression (SVR) for enhanced load prediction, then combine with a support vector machine (SVM) classifier to classify incoming load estimate as either normative or attacked.</p>	<p><b>Channels</b> </p> <ol style="list-style-type: none"> <li>Direct to utilities</li> <li>Via business partners: GE, Hitachi/ABB</li> <li>Via SI's: Infosys, Accenture, Capgemini, Deloitte, Guidehouse, HCL</li> </ol>	<p><b>Early Adopters</b> </p> <p>Existing RI and business partner clients</p>
<p><b>Cost Structure</b> </p> <p>List your fixed and variable costs:</p> <ul style="list-style-type: none"> <li>Business development costs</li> <li>Software development and testing costs</li> <li>Sales engineering costs</li> <li>Project implementation costs</li> </ul>		<p><b>Revenue Streams</b> </p> <p>List your sources of revenue:</p> <ul style="list-style-type: none"> <li>Software licenses: one-time/perpetual or annual/subscription/SaaS</li> <li>Implementation/integration</li> <li>Ongoing support and maintenance</li> </ul>		

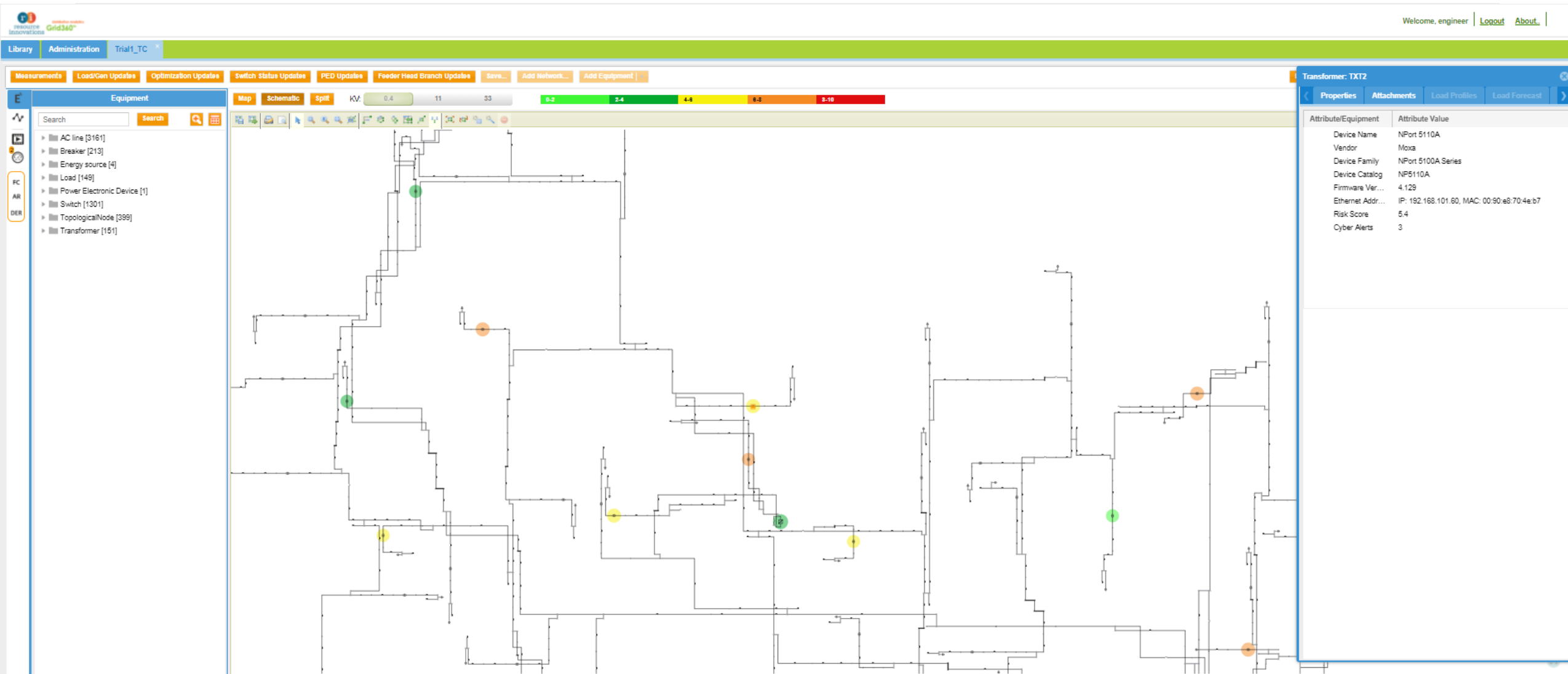
# Threat Assessment Risk Score – OTORIO Integration



The screenshot displays the Grid360 software interface. On the left is an 'Equipment' sidebar with a search bar and a tree view of components including AC lines, breakers, energy sources, loads, power electronic devices, switches, topological nodes, and transformers. The main workspace shows a schematic diagram of a power network with various nodes and connections. A central blue cylinder icon labeled 'Grid360 RAM²' is connected to several nodes in the diagram by dashed blue arrows. At the top, there are navigation tabs for 'Map', 'Schematic', and 'Split', along with a KV selector (0.4, 11, 33) and a color-coded status bar (0-2, 2-4, 4-8, 8-3, 8-10). On the right, a 'Transformer: TXT1' panel is open, showing a table of attributes and values.

Attribute/Equipment	Attribute Value
Device Name	NPort 5110A
Vendor	Moxa
Device Family	NPort 5100A Series
Device Catalog	NP5110A
Firmware Ver...	4.129
Ethernet Addr...	IP: 192.168.101.60, MAC: 00:90:e8:70:4e:b7
Risk Score	7.3
Cyber Alerts	3

# Threat Assessment Heat Map – OTORIO Integration

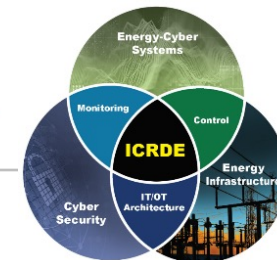


# THANK YOU!

John Dirkman, P.E.  
Vice President, Product Management  
[jdirkman@resource-innovations.com](mailto:jdirkman@resource-innovations.com)







# DOE ARPA-E and BIRD ICRDE Grant Projects

## Sensor-enabled Modeling of Future Distribution Systems with Distributed Energy Resources

Partners include Arizona State University, Arizona Public Service, Resource Innovations

- Development of accurate, sensor-driven mathematical models of APS distribution feeders, loads, and dispatchable resource assets
- Development of monitoring and control algorithms for optimal scheduling of DERs
- Technology to Market strategy via Industry Advisory Board

## Stochastic Optimal Power Flow for Real-time Management of Distributed Renewable Generation and Demand Response

Partners include Arizona State University, Resource Innovations, Sandia National Labs, PJM, MISO, NREL

- Prototype software for stochastic Security Constrained Economic Dispatch (SCED)/ Stochastic Look-Ahead Commitment (SLAC), scalable for large systems with high percentage of renewable generation (stochastic resources)
- Forecast algorithms for bulk and distributed wind and solar generation
- Classification and aggregate modelling of DR

## Comprehensive Cybersecurity Technology for Critical Power Infrastructure AI-based Centralized Defense and Edge Resilience

Many partners, utilities include Arava Power, Duquesne Light Company, Arizona Public Service, Delek US

- Support research, development, testing, and commercialization of software to harden utility EMS, DMS, and SCADA against cyberattacks